

03-27-01

Docket No. CS98-10658C

Anticipated Classification of this application:

Class : Subclass :

Prior application:

Examiner: T. NguyenArt Unit: 2813

Commissioner of Patents and Trademarks Washington, D.C. 20231

**FILING UNDER 37 CFR 1.53(b)**

This is a request for filing a

☒

Continuation

☐

Divisional

application under 37 CFR 1.53(b), of pending prior application

serial no. 09/351,237 filed on 7/12/99of YI XU, JIA ZHEN ZHENG, JANES C.M. HUI, CHARLES LIN, YIH SHUNG LINfor GAP FILLING PROCESS IN INTEGRATED CIRCUITS USING LOW DIELECTRIC CONSTANT**MATERIALS**

1. Copy of Prior Application as Filed which is Attached

☒

I hereby verify that the attached papers are a copy of what is shown in my records to be the above identified prior application, including 'the oath or declaration originally filed (37 CFR 1.53(b)) (See 6 for drawing requirements.)

☒

Declaration enclosed

2. Amendments

☐

Cancel in this application original claims \_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

☒

A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

**EXPRESS MAIL CERTIFICATE**Express Mail No. EF230886448 US

I Hereby Certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, DC 20231. Applicant and/or Attorney requests the date of deposit as the Filing Date

3/26/01  
Date of Deposit

S. Nguyen  
Signature / Date

3/26/01



### 3. Fee Calculation

CLAIMS AS FILED				
FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$ 710.
TOTAL CLAIMS	20 -20=	0	x 18 =	\$ 0.
INDEP CLAIMS	3 -3=	0	x 80 =	\$ 0.
MULTIPLE DEPENDENT CLAIM PRESENTED			+ 260 =	

Filing Fee Calculation \$ 710

### 4. Method Of Payment of Fees

- ☒ Charge Account No. 19-0033 in the amount of \$ 710. A duplicate of this request is attached.
- ☒ The Commissioner Is hereby authorized to charge the following additional fees which may be required to Account No. 19-0033
- ☒ 37 CFR 1.16 (filing fees and presentation or extra claims)

### 5. Drawings

Note: Do not check the following box if prior case is not to be abandoned.

- ☐ Transfer the drawings from the prior application to this application and, subject to item 13 below, abandon said prior application as of the filing date accorded this application. A duplicate Copy of this request is enclosed for filing in the prior application file. (May only be used if signed by (1) applicant (2) assignee of record or (3) attorney or agent of record authorized by 37CFR 1.138 and before payment of issue fee.)
- ☒ New drawings are enclosed
- ☐ formal ☒ Informal

### 6 Priority - 35 U.S.C. 119

- ☐ Priority of application serial no. \_\_\_\_\_ Filed on \_\_\_\_\_ In \_\_\_\_\_ Is claimed under 35 U.S.C. 119.
- ☐ The certified copy has been filed in *prior* application serial no. \_\_\_\_\_ filed on \_\_\_\_\_

### 7. Assignment

- ☒ The prior application is assigned of record to  
CHARTERED SEMICONDUCTOR MANUFACTURING LTD.

8. Power of Attorney

☒ The power of attorney in the prior application is to **GEORGE O. SAILE, REG. NO. 19,572**

a. ☒ The power appears in the original papers in the prior application

b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

c. ☐ A new power has been executed and is attached.

d. ☒ Address all future communications to

**George O. Saile  
20 McIntosh Drive  
Poughkeepsie, N.Y. 12603**

9. Maintenance of copendency of Prior Application

*(This item must be complete and the papers filed if the period set in the prior application has run)*

☐ A petition, fee and response has been filed to extend the term in the pending prior application until \_\_\_\_\_.

☐ Please abandon the prior application when the petition for extension of time in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**Stephen B. Ackerman, Reg. No. 37,761**

Type name of person signing



Signature

**March 26, 2001**

Date

**20 McIntosh Drive**

P.O. Address of Signatory

**Poughkeepsie, NY 12603**

Telephone No.: **(914) 452-5863**

☐ Inventor

☐ Assignee of complete interest

☐ Person authorized to sign on behalf of assignee

☒ Attorney or agent of record

**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 110.

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

Yi Xu

T. Nguyen

2813

C508-107/108/09C

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

19-0033

Deposit  
Account  
Name

George O. Squire

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check☐ Credit card☐ Money  
Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

101 710 201 355 Utility filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Extra Claims Fee from below Fee Paid

Total Claims  -20\*\* =  X  =

Independent Claims  -3\*\* =  X  =

Multiple Dependent  =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 \*\* Reissue independent claims over original patent

110 18 210 9 \*\* Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

105 130 205 65 Surcharge - late filing fee or oath

127 50 227 25 Surcharge - late provisional filing fee or cover sheet

139 130 139 130 Non-English specification

147 2,520 147 2,520 For filing a request for *ex parte* reexamination

112 920\* 112 920\* Requesting publication of SIR prior to Examiner action

113 1,840\* 113 1,840\* Requesting publication of SIR after Examiner action

115 110 215 55 Extension for reply within first month

116 390 216 195 Extension for reply within second month

117 890 217 445 Extension for reply within third month

118 1,390 218 695 Extension for reply within fourth month

128 1,890 228 945 Extension for reply within fifth month

119 310 219 155 Notice of Appeal

120 310 220 155 Filing a brief in support of an appeal

121 270 221 135 Request for oral hearing

138 1,510 138 1,510 Petition to institute a public use proceeding

140 110 240 55 Petition to revive - unavoidable

141 1,240 241 620 Petition to revive - unintentional

142 1,240 242 620 Utility issue fee (or reissue)

143 440 243 220 Design issue fee

144 600 244 300 Plant issue fee

122 130 122 130 Petitions to the Commissioner

123 50 123 50 Petitions related to provisional applications

126 240 126 240 Submission of Information Disclosure Stmt

581 40 581 40 Recording each patent assignment per property (times number of properties)

146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))

149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))

179 710 279 355 Request for Continued Examination (RCE)

169 900 169 900 Request for expedited examination of a design application

Other fee (specify) Statutory Disclaimer

110.

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 110.

**SUBMITTED BY**

Name (Print/Type)

Stephen B. Ackerman

Registration No.  
(Attorney/Agent)

37,7101

Complete (if applicable)

Telephone

815-452-5803

Signature

S. Ackerman

Date

March 26, 01

**EXPRESS MAIL CERTIFICATE**

Express Mail No. EF230886448 US

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3/26/01

S. Ackerman

3/26/01